

INDICATIVE AUDIT COMMITTEE WORKPLAN 2014/15

NOTE :- Proposed substantive items to be presented and discussed have been put in **bold**.
Proposed *information* items that will not be presented are in *italics*
Standing 'business' items are in normal font

* Awareness / Briefing Session to be held prior to the meeting

Mtg. No.	Meeting Date	Audit Matters (Internal and External)	Risk Management Matters	Statutory Accounts / Financial Management	General Controls Assurance / Audit Committee General / Other
3	Friday 19th September 2014 2.00 pm	<ul style="list-style-type: none"> External Audit – Report to those charged with governance (ISA 260) 2013/14 External Audit – Digital Region Ltd Review External Audit – Local Authority Corporate Risk Register Analysis – Single Tier Authorities External Audit – Progress Report & Technical Update Private item with the Internal Auditor and External Auditor (as required) 		<ul style="list-style-type: none"> Treasury Management Annual Report 2013/14 Corporate Finance and Performance Management & Capital Programme Update @ June 2014 	<ul style="list-style-type: none"> Annual Governance Statement 2013/14 Review of AGS Action Plan Audit Committee workplan 2014/15
4	Wednesday 5th November 2014	<ul style="list-style-type: none"> Internal Audit Quarterly Report 2014/15 (Qu.2) External Audit - Annual Audit Letter 2013/14 External Audit – Progress Report & Technical Update Private item with the Internal Auditor and External Auditor (as required) 	<ul style="list-style-type: none"> Strategic Risk Register Review 	<ul style="list-style-type: none"> Corporate Finance and Performance Management & Capital Programme Update @ Sept. 	<ul style="list-style-type: none"> Prosecutions Policy Review RIPA Update Report Audit Committee workplan 2014/15
5	Wednesday 10th December 2014	<ul style="list-style-type: none"> Review of the Effectiveness of Internal Audit – Action Plan Update Internal Audit Recommendations Implementation Update External Audit – Progress Report & Technical Update Private item with the Internal Auditor and External Auditor (as required) 	<ul style="list-style-type: none"> Risk Management Update Report 		<ul style="list-style-type: none"> Annual Governance Statement 2013/14 Action Plan Update Review of Ombudsmen Complaints 2013/14 Fraud Management Update Report Audit Committee workplan 2014/15
6	Wednesday 21st January 2015	<ul style="list-style-type: none"> Internal Audit Quarterly Report 2014/15 (Qu.3) External Audit – Progress Report & Technical Update Private item with the Internal Auditor and External Auditor (as required) 			<ul style="list-style-type: none"> Annual Governance Review 2014/15 Local Code of Corporate Governance Review of the Corporate Whistleblowing Policy Audit Committee workplan 2014/15
7	Wednesday 25th March 2015	<ul style="list-style-type: none"> Internal Audit Charter and Strategy Internal Audit Plan 2015/16 External Audit – Claims and Returns Annual Report 2013/14 	<ul style="list-style-type: none"> Strategic Risk Register Review 	<ul style="list-style-type: none"> Treasury Management Policy & Strategy Statements 2015/16 	<ul style="list-style-type: none"> Information Governance Update Report Audit Committee workplan 2014/15

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		<ul style="list-style-type: none"> External Audit – 2014/15 Audit - Audit Plan External Audit – Progress Report & Technical Update Private item with the Internal Auditor and External Auditor (as required) 		<ul style="list-style-type: none"> 2015/16 Budget Proposa Section 25 Report Corporate Finance and Performance Management & Capital Programme Update @ Dec. 	
8	Wednesday 22nd April 2015	<ul style="list-style-type: none"> Internal Audit Quarterly Report 2014/15 (Qu4) External Audit – Progress Report & Technical Update Private item with the Internal Auditor and External Auditor (as required) 	<ul style="list-style-type: none"> Risk Management Policy & Strategy 		<ul style="list-style-type: none"> Corporate Whistleblowing Policy Update and Annual Report Audit Committee Review of Terms of Reference and Self-Assessment Audit Committee – Training Review and Skills Self-Assessment Audit Committee workplan 2014/15

To Note

- Further Audit Committee meeting have been planned for the following dates. A workplan will be issued nearer to the date.
 - 10th June 2015
 - 22nd July 2015
 - 18th September 2015 - Friday @ 2.00pm
 - 4th November 2015
 - 9th December 2015